FORM SSV-5 (4-17-2020)



SURVEY OF SEXUAL VICTIMIZATION, 2019 State Juvenile Systems Summary Form

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS AND ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

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ode Number 5734308

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Kentucky Department of Juvenile Justice

(Please correct any error in name, mailing address, and ZIP Code)

What facilities are included in this data collection?

All State-operated juvenile residential placement facilities used to house juveniles and youthful offenders, regardless of age or reason for placement.

- INCLUDE State-operated juvenile residential facilities such as: detention centers, training schools, long-term secure facilities; reception or diagnostic centers; group homes or halfway houses; boot camps; ranches; forestry camps, wilderness or marine programs, or farms; runaway or homeless shelters; and residential treatment centers for juveniles.
- EXCLUDE privately operated facilities and facilities operated or administered by local governments. (These facilities will be contacted directly for data on sexual victimization.)

What persons and incidents are included in this data collection?

Juveniles and youthful offenders, regardless of age or reason for placement, under your custody between January 1, 2019, and December 31, 2019.

- INCLUDE incidents involving juveniles or youthful offenders under the authority, custody, or care of your confinement or community-based facilities or staff.
- EXCLUDE incidents involving juveniles or youthful offenders not held in facilities operated by your State juvenile system.

Reporting instructions:

- Please complete the entire SSV-5 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- Section I: when exact numeric answers are not available, provide estimates and mark (X) the box beside each figure.
- Sections II, III, and V: if the answer to a question is "none" or "zero," write "0" or mark the box (X) provided.

Substantiated incidents of sexual violence:

 Please complete an Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization.

Returning forms:

- If you need assistance, please call the U.S. Census Bureau toll-free at 1-800-253-2078 or email greta.b.clark@census.gov
- Please return your completed summary and substantiated incident forms by November 30, 2020.
- You may complete these forms online (see enclosed instructions). Or if you prefer, you may return these forms by mail or fax.
- MAIL TO: U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- FAX (TOLL FREE): 1-888-262-3974

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531, Do not send your completed form to this address.

DEFINITIONS

JUVENILES and YOUTHFUL OFFENDERS

 Any person under the jurisdiction of your State's juvenile system or youthful offender authority, regardless of age or reason for placement.

FACILITIES

INCLUDE all State-operated facilities used to house juveniles or youthful offenders charged with or court-adjudicated for:

· Any offense that is illegal for both adults and juveniles;

OR

 An offense that is ILLEGAL in your State for juveniles, but not for adults (running away, truancy, incorrigibility, curfew violations, and liquor violations).

EXCLUDE State-operated facilities used ONLY to house juveniles for:

Non-criminal purposes (neglect, abuse, abandonment, or dependency);

OR

- Being Persons in Need of Services (PINS) or Children in Need of Services (CHINS) who have assigned beds for reasons other than offenses.
- 1. On December 31, 2019, how many facilities operated by your State held juveniles or youthful offenders CHARGED WITH or COURT-ADJUDICATED FOR AN OFFENSE?

Number of facilities <u>23</u>

- Count all juvenite residential facilities where young persons who have committed offenses may be housed overnight.
- Count each facility with a separate physical location only once. Do not count separate living/sleeping units, wings, floors, dorms, barracks, or cottages within a single facility.

	neld in the facilities reported in Item 1 we	ere
	a. Males	
	b. Females	
	c. TOTAL (Sum of Items 2a and 2b) . 323	
	 Count persons held in the facilities reported i regardless of age or reason for placement. In persons who were temporarily away but had beds on December 31, 2019. 	clude
3.	On December 31, 2019, how many person held in the facilities reported in Item 1 we	s ere
	a. Age 17 or younger	
	b. Age 18 to 20	. 0
	c. Age 21 or older	
	d. TOTAL (Sum of Items 3a through 3c should equal Item 2c)	. 🗆
	 Count all persons held in the facilities reporter regardless of age or reason for placement. In persons who were temporarily away but had beds on December 31, 2019. 	clude
	Between January 1, 2019, and December how many persons were admitted to or di from the facilities reported in Item 1?	31, 2019, scharged
	a. TOTAL number admitted 3419	
	b. TOTAL number discharged 3410	
	 Include all persons admitted into your State- juvenile residential facilities by a formal legal by the authority of the courts, or by some off agency. 	document,
	Include all persons discharged from your State-operated invenile residential facilities a	fter a

period of confinement including sentence completion, pretrial releases, transfers to adult jurisdictions or to

Exclude admissions and discharges resulting from returns from escape, administrative transfers to other juvenile facilities operated by your State, or temporary release including work/school release, medical appointments, other treatment facilities, or court

other States, and deaths.

appearances.

2. On December 31, 2019, how many persons

GERMAN - YESTER ON YOUTH SEXUEL VIOLUNIZATION

DEFINITIONS

The survey utilizes the definition of "sexual abuse" as provided by 28 C.F.R. §115.6 in the National Standards to Prevent, Detect, and Respond to Prison Rape (under the Prison Rape Elimination Act of 2003). Attempted nonconsensual sexual acts are included if recorded by the facility, For purposes of SSV, sexual abuse is disaggregated into three categories of youth-on-youth sexual victimization. These categories are:

NONCONSENSUAL SEXUAL ACTS

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

 Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;

OR

 Contact between the mouth and the penis, vulva, or anus;

OF

 Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.
- EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

- 5. Does your State juvenile system record allegations of youth-on-youth NONCONSENSUAL SEXUAL ACTS?
 - x Yes → a. Do you record all reported occurrences, or only substantiated ones?
 - All
 Substantiated only
 - b. Do you record attempted NONCONSENSUAL SEXUAL ACTS or only completed ones?
 - Both attempted and completed Completed only
 - No → Please provide the definition used by your State juvenile system for youth-on-youth NONCONSENSUAL SEXUAL ACTS in the space below. Use that definition to complete Items 6 and 7.
- 6. Between January 1, 2019, and December 31, 2019, how many allegations of youth-on-youth NONCONSENSUAL SEXUAL ACTS were reported?

Number reported	<u>0</u> None
 If an allegation involved only once. Exclude any allegations consensual. 	multiple victimizations, count that were reported as
7. Of the allegations rep- many were (Please col responsible for investigatir victimization in order to full	ntact the agency or office ng allegations of sexual
a. Substantiated	0 None
 The event was investoccurred, based on a (28 C.F.R. §115.72). 	tigated and determined to have a preponderance of the evidence
b. Unsubstantiated	0 None
	ncluded that evidence was line whether or not the event
c. Unfounded	0 None
 The investigation de occur. 	termined that the event did NOT
d. Investigation ongo Evidence is still bein and a final determina	ing0 None g gathered, processed or evaluate ation has not yet been made.
e. TOTAL (Sum of Items 7a through 7d)	0

The total should equal the number reported in

Item 6.

- 8. Does your State juvenile system record 11. Does your State juvenile system record allegations of youth-on-youth ABUSIVE SEXUAL allegations of youth-on-youth SEXUAL CONTACT? (See definitions on page 3.) HARASSMENT? (See definitions on page 2.) Yes -> Do you record all reported allegations $_{\text{YeS}} \rightarrow \text{Can these be counted separately}$ from allegations of NONCONSENSUAL SEXUAL ACTS? * Yes No → Skip to Item 11. No → Please provide an explanation in the space No -> Please provide an explanation in the space below and then skip to Item 11. 9. Between January 1, 2019, and December 31, 2019, how many allegations of youth-on-youth ABUSIVE SEXUAL
 - 12. Between January 1, 2019, and December 31, 2019, how many allegations of youth-on-youth SEXUAL HARASSMENT were reported? . 🔲 None Number reported If an allegation involved multiple victims or youth perpetrators, count only once. Exclude any allegations that were reported as consensual. 13. Of the allegations reported in Item 12, how many were - (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this a. Substantiated 1 b. Unsubstantiated c. Unfounded 5 d. Investigation ongoing ... O None e. TOTAL (Sum of Items 13a through 13d) The total should equal the number reported in Item 12.

or only substantiated ones?

Substantiated only

below and then skip to Section III.

× All

e. TOTAL (Sum of Items

Item 9.

10a through 10d)

CONTACT were reported?

Number reported and a

only once.

consensual.

· If an allegation involved multiple victimizations, count

· Exclude any allegations that were reported as

10. Of the allegations reported in Item 9, how

many were - (Please contact the agency or office responsible for investigating allegations of

sexual victimization in order to fully complete this

b. Unsubstantiated 0 None

d. Investigation ongoing 0 None

The total should equal the number reported in

DEFINITIONS

The survey utilizes the definition of "sexual abuse" by a staff member, contractor or volunteer as provided by 28 C.F.R. §115.6 in the *National Standards to Prevent, Detect, and Respond to Prison Rape* (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into two categories of staff-on-youth sexual abuse. These categories are:

STAFF SEXUAL MISCONDUCT

Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friend or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

 Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

 Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include—

 Demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

- 14. Does your State juvenile system record allegations of STAFF SEXUAL MISCONDUCT?
 - Yes -> Do you record all reported occurrences, or only substantiated ones?

× All

Substantiated only

No → Please provide an explanation in the space below and then skip to Item 17.

15.	Between January 1, 2019, and December 31, 2019, how many of STAFF SEXUAL MISCONDUC reported?	allega T wer	ations 'e
	Number reported	3	☐ None
	 If an allegation involved multiple vio only once. 	ctimiza	tions, count
16.	Of the allegations reported in It many were (Please contact the ag office responsible for investigating alle sexual victimization in order to fully coform.)	ency o	or is of
	a. Substantiated	1	_ 🗆 None
	b. Unsubstantiated	0	_ 🗆 None
	c. Unfounded	2	_ 🗆 None
	d. Investigation ongoing—	0	_ 🗆 None

3

The total should equal the number reported in

□ None

e. TOTAL (Sum of Items

Item 15.

16a through 16d)

x Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?

× Yes

No → Skip to Item 20.

No → Please provide an explanation in the space below and then skip to Item 20.

18. Between January 1, 2019, and December 31, 2019, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported 2 None

- If an allegation involved multiple victims or staff, count only once.
- Of the allegations reported in Item 18, how many were - (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated Non

b. Unsubstantiated 2 None

d. Investigation ongoing . . ____ O None

e. TOTAL (Sum of Items 19a through 19d) 2 None

 The total should equal the number reported in Item 18. 20. Did any of the allegations reported in Items 6, 9, 12, 15, or 18 occur in a privately operated facility?

Yes

× No

21. Did any of the allegations reported in Items 6, 9, 12, 15, or 18 occur in a facility operated or administered by local governments?

Yes

× No

22. What is the total number of substantiated incidents reported in Items 7a, 10a, 13a, 16a, and 19a?

Total substantiated incidents

2 None

 Please complete a Substantiated Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization. FORM SSV-IJ (4-14-2020)

STATE: KY



SURVEY OF SEXUAL VICTIMIZATION, 2019

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number 1 out of 2

Substantiated Incident Form (Juvenile)

18000000050000001800 Incident Number

1.	On what date did the incident occur? (If more than one date, report the most recent.) Month Day Year	6.	How many victims were involved in the incident? Number of victims 2			
	0 8 1 4 2 0 1 9		→ If more than two victims were involved, report their characteristics in Notes on page 5.			
2.	In what facility did the incident occur?					
	Name					
	McCracken Regional Juvenile Detention Center	7.	Victim #1: What was the victim's sex or gender			
	City/Place		identity? (See definitions on page 5.)			
	Paducah		01 ☑ Male 03 ☐ Transgender 02 ☐ Female 04 ☐ Intersex			
_			02 L.J Pemale 04 L.J Intersex			
3.	Where did the incident occur? (Mark (X)) all that apply.)	8.	Victim #1: What was the victim's age at the time			
	o1 In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's		of the incident?			
	cell)		01 Under age 13 04 18–19			
	02 In the perpetrator's cell or room		02 ☐ 13–15			
	03 🔲 In a dormitory or other multiple housing unit		06 년 25 이 이del			
	04 In a common area (e.g., shower, dayroom, bathroom)					
	05 In a temporary holding cell or admissions area within the facility	9.	Victim #1: What was the victim's race/ethnic origin?			
	06 In a program service area (e.g., commissary, kitchen,		(Mark ([X]) all that apply.)			
	storage, laundry, cafeteria, workshop, hallway)		on ☐ White (not of Hispanic origin) oz ☑ Black (not of Hispanic origin)			
	or In an instructional area (e.g., classroom, school, library, conference room) os In a recreation area (e.g., yard, courtyard, gymnasium)		03 Hispanic or Latino			
			04 American Indian/Alaska Native (not of Hispanic origin)			
	09 In a medical area (e.g., Infirmary, health clinic)		os Asian (not of Hispanic origin)			
	10 🔲 In a staff area (office, break room, counselor's office)		06 Native Hawaiian or Other Pacific Islander			
	11 Offsite or while in transit		(not of Hispanic origin) or □ Other racial category in your information system –			
	12 Other – Specify Z		Specify			
	13 Location unknown		****			
	Did the incident take place in an area subject to video monitoring?	10	Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)			
	o₁ ¥ Yes		01 Male 03 Transgender			
	02 No		02 - Female 04 - Intersex			
	03 Don't know	4.4	. Victim #2: What was the victim's age at the			
	What time did the incident occur? (Mark (X)) all that apply.)		time of the incident? 1 Under age 13 1 18–19			
	01 Morning (6 a.m. to noon)		02 \(\begin{array}{ccccc} 13-15 & 05 \(\begin{array}{ccccc} 20-24 & & & & & & & & & & & & & & & & & & &			
	02 Afternoon (noon to 6 p.m.)		03 ☑ 16–17 06 ☐ 25 or older			
	оз 🔲 Evening (6 p.m. to midnight)		The same and the transfer			
	04 Overnight (midnight to 6 a.m.)					
	05 Unknown					

Burden Statement

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12. Victim #2: What was the victim's race/ethnic origin? (Mark (☒) all that apply.) 01 ☒ White (not of Hispanic origin) 02 ☒ Black (not of Hispanic origin) 03 ☒ Hispanic or Latino 04 ☒ American Indian/Alaska Native (not of Hispanic origin) 05 ☒ Asian (not of Hispanic origin) 06 ☒ Native Hawaiian or Other Pacific Islander (not of Hispanic origin) 07 ☒ Other racial category in your information system − Specify ☒	15. After the incident was reported, was the victim(s) - (Mark (⋈) all that apply for all victims.) oi ☐ Given a medical examination o2 ☐ Administered a rape kit o3 ☐ Tested for HIV/AIDS o4 ☐ Tested for other sexually transmitted diseases o5 ☐ Provided with counseling or mental health treatment o6 ☐ Offered but declined testing or treatment o7 ☐ Already released/discharged o8 ☒ None of the above
13. Did the victim(s) sustain any physical injury during the incident? □2 No (No injury sustained) □1 Yes → a. What injuries occurred? (Mark (X) all that apply for all victims.) □1 Knife or stab wounds □2 Broken bones □3 Anal or vaginal tearing □4 Chipped or knocked out teeth □5 Internal injuries □6 Knocked unconscious □7 Bruises, black eye, sprains, cuts, scratches, swelling, welts □8 Other - Specify	16. After the incident was reported, was the victim(s) – (Mark (☒) all that apply for all victims.) o1 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation o2 ☐ Placed in a medical unit, ward, or hospital o3 ☐ Confined to own cell or room o4 ☐ Given a higher custody level/different unit within the facility o5 ☐ Transferred to another facility o6 ☐ Transferred to another housing unit or dorm, or given a single room or cell o7 ※ Separated from perpetrator o8 ☐ Issued disciplinary report or loss of privileges o9 ☐ Placed in camera room, under closer surveillance, or increased supervision
→ b. Did the victim(s) receive medical treatment for these injuries? 01 Yes 02 No 14. Who reported the incident? (Mark (□) all that apply.) 01 Victim 02 Another youth (non-victim) 03 Victim's family or friend 04 Correctional officer or front line staff 05 Administrative staff 06 Medical, healthcare, or mental health staff 07 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 09 Chaplain or other religious official 10 Perpetrator 11 Perpetrator's family or friend	10 ☐ Other = Specify 11 ☐ None of the above 17. What type of sexual violence was involved in the incident? (See definitions on page 5.) 01 ☐ Youth-on-youth nonconsensual sexual act → Complete Section A, below 02 ☐ Youth-on-youth abusive contact → Complete Section A, below 03 ☑ Youth-on-youth sexual harassment → Complete Section A, below 04 ☐ Staff sexual misconduct → Complete Section B on pages 4-5 05 ☐ Staff sexual harassment → Complete Section B on pages 4-5
12 ☐ Grievance coordinator, grievance process, or ombudsperson 13 ☐ Attorney or legal guardian (e.g., other than family member) 14 ☒ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail) 15 ☐ Other – Specify ☒	Section A - YOUTH-ON-YOUTH SEXUAL VICTIMIZATION If the perpetrator was a staff member, go to Section B on pages 4-5. 18. How many youth perpetrators were involved in the incident? Number of youth perpetrators
	If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.

19.	Perpetrator #1: What was the sex or gender identity? (See	ne youth perpetrator's e definitions on page 5.)		What was the nature of the incident? (Mark (⋈) all that apply.)
	01 Male 03 Trans			02 X Sexual harassment
	02 Female 04 Inters	ex ex		□ Indecent exposure, masturbation, or voyeurism
20.	Perpetrator #1: What was th	ne youth perpetrator's		04 Horseplay
	age at the time of the incide	ent?		05 Repeated and unwelcome sexual advances or
		p4 🛄 18–19		requests for sexual favors on Unwanted touching for sexual gratification or
		os D 20–24		abusive sexual contact
	03 🗌 16–17	o6 ☐ 25 or older		or Pressure or coercion (without force) resulting in a nonconsensual sexual act
21.	Perpetrator #1: What was the race/ethnic origin? (Mark (X)	ne youth perpetrator's) all that apply.)		O8 Physical force (or the threat of force) resulting in a nonconsensual sexual act
	of White (not of Hispanic origin			09 ☐ Other – Specify _▼
	o2 ☐ Black (not of Hispanic origin o3 ☐ Hispanic or Latino	1)		
	04 American Indian/Alaska Nat	ive (not of Hispanic		
	origin)	ivo (not or majouno		
	05 🗷 Asian (not of Hispanic origin		26.	What type of pressure or physical force was used by the youth perpetrator on the victim?
	of ☐ Native Hawaiian or Other Pa (not of Hispanic Origin)	acific Islander		(Mark (X)) all that apply for all perpetrators.)
	o7 ☐ Other racial category in you	r information system –		01 🗷 Sexual harassment, sexual innuendo, or
	Specify Z	and a distribution of the state		verbal comments
				02 Persuasion or talked into sexual activity
	Withdraw .			03 Surprised the victim with unwanted touching,
22.	Perpetrator #2: What was the	e youth perpetrator's		grabbing or groping, or victim was asleep 04 Bribery or blackmail
	sex or gender identity? (See			05 ☐ Gave victim drugs or alcohol
	01 Male 03 Trans	-		06 Offered protection from other youth
	02 Female 04 Inters	ex .		07 ☐ Threatened with physical harm
23.	Perpetrator #2: What was thage at the time of the incide	ie youth perpetrator's ent?		Physically held victim down or restrained in some way
	01 ☐ Under age 13 04	□ 18–19		09 Physically harmed or injured
		5 □ 20–24		10 Threatened with a weapon
	03 🔲 16–17	□ 25 or older		11 Other - Specify
24.	Perpetrator #2: What was the race/ethnic origin? (Mark (区)	ne youth perpetrator's) all that apply.)		12 None
	oı ☐ White (not of Hispanic origin	n)		
	02 Black (not of Hispanic origin	7)	27.	What sanction was imposed on the perpetrator(s)?
	03 Hispanic or Latino			(Mark (☒) all that apply for all perpetrators.) □ □ Placed in solitary confinement or disciplinary
	04 American Indian/Alaska Nat origin)	ive (not of Hispanic		segregation
	05 Asian (not of Hispanic origin	7)		02 Confined to own cell or room
	os Native Hawaiian or Other P	*		o3 Placed in higher custody level, restricted unit or program, within the same facility
	07 Other racial category in you	r information system –		04 ■ Transferred to other unit/cell or separated from victim
	Specify 📈			05 Transferred to another facility
				06 Loss of "good/gain" time or increase in "bad"
				time/delayed release
				08 Loss of privileges, disciplinary report or conduct
				violation, or other reprimand
				09 ☐ Sent to counseling or treatment team 10 ☐ Arrested or referred to law enforcement agency
				11 Referred for prosecution or indicted
				12 Convicted, given new sentence, or fined
				13 ☐ Other – Specify д
				•

Section B - STAFF-ON-YOUTH SEXUAL ABUSE 33. Staff #2: What was the gender of the staff? 01 Male 02 Female ① If the perpetrator was a youth, go to Section A on pages 2-3. 34. Staff #2: What was the age of the staff at the 28. What was the nature of the incident? time of the incident? (Mark (X) all that apply.) 01 24 or younger 05 40-44 01 Physical force resulting in a nonconsensual 02 25-29 06 45-54 sexual act 03 30-34 07 🔲 55 or older 02 Pressure or abuse of power resulting in a 04 35-39 nonconsensual sexual act 03 Indecent exposure, invasion of privacy, or 35. Staff #2: What was the race/ethnic origin of the voyeurism for sexual gratification staff involved in the incident? 04 Unwanted touching for sexual gratification (Mark (X)) all that apply.) 05 Sexual harassment or repeated verbal statements 01 White (not of Hispanic origin) of a sexual nature by staff 02 Black (not of Hispanic origin) 06 Wrote letters, showed pictures, or offered gifts or 03 Hispanic or Latino special privileges to youth 04 American Indian/Alaska Native (not of Hispanic 07 Sexual relationship between youth and staff that appeared to be willing 05 Asian (not of Hispanic origin) 08 L Other - Specify -06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin) 07 Other racial category in your information system -09 Level of coercion unknown Specify -29. How many staff were involved in the incident? 36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? Number of staff . . . _ (Mark (X)) all that apply for all staff involved.) If more than two staff were involved, report 01 Full or part time paid employee their characteristics in Notes on page 5. 02 Contract employee or vendor 30. Staff #1: What was the gender of the staff? 03 Volunteer or intern 04 Other - Specify -01 Male 02 Female 31. Staff #1: What was the age of the staff at the time of the incident? oı 🔲 24 or younger 05 40-44 37. What was the primary position description of 02 25-29 06 45-54 the staff involved in the incident? (Mark (\boxtimes) all that apply for all staff involved.) 03 30-34 07 55 or older 04 35-39 of \square Administrator, including wardens, superintendents, assistants and others in 32. Staff #1: What was the race/ethnic origin of the administrative positions staff involved in the incident? 02 Correctional officer or supervisory staff (Mark (X) all that apply.) os Clerical staff including secretaries, clerks, 01 Mhite (not of Hispanic origin) receptionists, and other administrative support 02 Black (not of Hispanic origin) 04 Maintenance and other facility support staff. including groundskeepers, janitors, cooks, and 03 Hispanic or Latino drivers 04 American Indian/Alaska Native (not of Hispanic 05 Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants 05 Asian (not of Hispanic origin) 06 Native Hawaiian or Other Pacific Islander of Education staff, including instructors, teachers. (not of Hispanic origin) librarians, and education assistants 07 Other racial category in your information system -07 Other program staff Specify Z 08 Volunteers or Interns 09 Other staff - Specify -

38.	What sanction was imposed on the staff? (Mark (☒) all that apply for all staff involved.)	Definitions
	on ☐ Sent to training or counseling	Sexual victimization
	02 Reprimanded or disciplined	NONCONSENSUAL SEXUAL ACTS: Sexual contact of any
	os Demoted, diminished responsibilities, or suspended temporarily	person without his or her consent, or of a person who is unable to consent or refuse;
	04 Transferred to another facility or unit	AND
	os Arrested or referred to law enforcement	Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR
	agency	Contact between the mouth and the penis, vulva, or anus;
	Referred for prosecution or indicted	OR
	07 Convicted, plead guilty, sentenced, or fined	Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.
	Discharged, terminated, or contract not renewed Staff resigned (prior to completion of investigation)	I .
	10 Staff resigned (after investigation was completed)	ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a
	11 Other – Specify 7	person who is unable to consent or refuse;
	, , , , , , , , , , , , , , , , , , ,	Intentional touching, either directly or through the clothing, of
		Ine genitalia, anus, groin, breast, inner thigh, or buttocks of
	12 No action taken	any person.
	TO delight lakers	EXCLUDE incidents in which the contact was incidental to a physical altercation.
39.	At the time of the incident, how long had the	I .
	staff worked at the facility? (Mark (⊠) all that apply for all staff involved.)	SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or
	01 Less than 6 months	verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.
	02 G 6 months to 1 year	
	03 1 to 5 years	STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer,
	05 More than 10 years	contractor, official visitor or other agency representative (exclude family, friends or other visitors).
	The state of the s	· · · · · · · · · · · · · · · · · · ·
	NOTES	Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—
		Intentional touching, either directly or through the clothing, of
		the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse,
		or gratify sexual desire;
		OR Completed attempted threatened or requested assured asternational
		Completed, attempted, threatened, or requested sexual acts; OR
		Occurrences of indecent exposure, invasion of privacy, or
		staff voyeurism for reasons unrelated to official duties or for sexual gratification.
		STAFF SEXUAL HARASSMENT: Repealed verbal comments
		or gestures of a sexual nature to a youth by an employee
		volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include
		demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;
		OR
		Repeated profane or obscene language or gestures.
		Gender categories
		TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the
		person's assigned sex at birth.
		INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit
		typical definitions of male or female, Intersex medical
		conditions are sometimes referred to as disorders of sex development.

FORM **SSV-IJ** (4-14-2020)



SURVEY OF SEXUAL VICTIMIZATION, 2019

Substantiated Incident Form (Juvenile)

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

STATE: KY

180000000050000001800

Incident Number 2 out of 2

(// / Mo 0	what facility did the incident occur?	6.	How many victims were involved in the incident? Number of victims1 → If more than two victims were involved, report their characteristics in Notes on page 5.
Ac Cit Co	ere did the incident occur? (Mark (🖂) all that apply.) In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell) In the perpetrator's cell or room		Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.) 01 ☑ Male
04	In a dormitory or other multiple housing unit In a common area (e.g., shower, dayroom, bathroom) In a temporary holding cell or admissions area within the facility In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) In an instructional area (e.g., classroom, school, library, conference room) In a recreation area (e.g., yard, courtyard, gymnasium) In a medical area (e.g., Infirmary, health clinic) In a staff area (office, break room, counselor's office) Offsite or while in transit Other – Specify	9.	Victim #1: What was the victim's race/ethnic origin? (Mark (☒) all that apply.) □ White (not of Hispanic origin) □ ☒ Black (not of Hispanic origin) □ ☒ Hispanic or Latino □ ☒ American Indian/Alaska Native (not of Hispanic origin) □ ☒ Asian (not of Hispanic origin) □ ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin) □ ☐ Other racial category in your information system – Specify ☒
01 2 02 03 04 00 0	Location unknown the incident take place in an area subject video monitoring? Yes No Don't know at time did the incident occur? rk (X) all that apply.) Morning (6 a.m. to noon) Afternoon (noon to 6 p.m.) Evening (6 p.m. to midnight) Overnight (midnight to 6 a.m.) Unknown		. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.) 10 Male

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

12,	Victim #2: What was the victim's race/ethnic origin? (Mark (X)) all that apply.)		After the incident was reported, was the victim(s) – (Mark ([X]) all that apply for all victims.)
	01 Mhite (not of Hispanic origin)		01 Given a medical examination
	02 Black (not of Hispanic origin)		02 Administered a rape kit
	03 Hispanic or Latino		03 Tested for HIV/AIDS
	04 American Indian/Alaska Native (not of Hispanic origin)	(04 Tested for other sexually transmitted diseases
	05 Asian (not of Hispanic origin)		05 Provided with counseling or mental health
	06 Native Hawaiian or Other Pacific Islander		treatment
	(not of Hispanic origin)		Offered but declined testing or treatment
	07 ☐ Other racial category in your information system – Specify →	(pr ☑ Already released/discharged Bos ☐ None of the above
	open, y	,	os I none of the above
		16. /	After the incident was reported, was the
13.	Did the victim(s) sustain any physical injury during the incident?		rictim(s) - (Mark (区) all that apply for all victims.) □ □ Placed in or returned to administrative segregation,
	02 🗷 No (No injury sustained)		protective custody, or disciplinary segregation
	of □ Yes → a. What injuries occurred?		2 Placed in a medical unit, ward, or hospital
	(Mark (X)) all that apply for all victims.)	C	3 Confined to own cell or room
	o₁ ☐ Knife or stab wounds	C	4 Given a higher custody level/different unit within
	o2 🗆 Broken bones		the facility
	03 Anal or vaginal tearing		Transferred to another facility
	04 Chipped or knocked out teeth	0	Transferred to another housing unit or dorm, or given a single room or cell
	05 🔲 Internal injuries	0	7 Separated from perpetrator
	oe Knocked unconscious		B Issued disciplinary report or loss of privileges
	or Bruises, black eye, sprains, cuts,		9 Placed in camera room, under closer surveillance
	scratches, swelling, welts os Other – Specify		or increased supervision
	oo 🗀 Other – Specify P	1	o ☑ Other – Specify ⊋
			Youth turned 18 yrs. transferred to another facili
	→ b. Did the victim(s) receive medical treatment for these injuries?	1	1 ☐ None of the above
	oı □ Yes		
	02 No	17. V	What type of sexual violence was involved in
		t	he incident? (See definitions on page 5.)
14.	Who reported the incident?	(Youth-on-youth nonconsensual sexual act
	(Mark (☒) all that apply.)	,	→ Complete Section A, below 2 ☐ Youth-on-youth abusive contact
		,	→ Complete Section A, below
	02 Another youth (non-victim)	(og ☐ Youth-on-youth sexual harassment
	03 Victim's family or friend 04 Correctional officer or front line staff		Complete Section A, below
	05 Administrative staff	(94 🗷 Staff sexual misconduct
	of Medical, healthcare, or mental health staff		Complete Section B on pages 4-5
	or Instructor, teacher, or counselor	(5 ☐ Staff sexual harassment - Complete Section B on pages 4-5
	08 Other staff (e.g., kitchen worker, maintenance staff)		a supplied to the supplied of the supplied to
	09 Chaplain or other religious official		
	10 Perpetrator		
	11 Perpetrator's family or friend		
	ombudsperson	sectio	n A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION
	13 Attorney or legal guardian (e.g., other than family member)		If the perpetrator was a staff member, go to Section B on pages 4–5.
	14 Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)	18. H	low many youth perpetrators were involved in he incident?
	15 ☐ Other – Specify ⊋	Ν	lumber of youth perpetrators
			If more than two youth perpetrators were
			involved, report their characteristics in Notes on page 5.

19.	Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)	25.	What was the nature of the incident? (Mark ([X]) all that apply.)
	01 Male 03 Transgender		02 Sexual harassment
	02 Female 04 Intersex		03 Indecent exposure, masturbation, or voyeurism
20.	Perpetrator #1: What was the youth perpetrator's		04 Horseplay
	age at the time of the incident?		os Repeated and unwelcome sexual advances or
	01 ☐ Under age 13 04 ☐ 18–19		requests for sexual favors
	02 🗌 13–15 05 🗌 20–24		06 Unwanted touching for sexual gratification or abusive sexual contact
	03 ☐ 16–17 06 ☐ 25 or older		07 Pressure or coercion (without force) resulting
21.	Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark ([X]) all that apply.)		in a nonconsensual sexual act OB Physical force (or the threat of force) resulting in a nonconsensual sexual act
	o₁ ☐ White (not of Hispanic origin)		09 ☐ Other - Specify Z
	02 Black (not of Hispanic origin)		
	03 Hispanic or Latino		
	o4 American Indian/Alaska Native (not of Hispanic origin)		
	os ☐ Asian (not of Hispanic origin)	26.	What type of pressure or physical force was
	06 ☐ Native Hawaiian or Other Pacific Islander		used by the youth perpetrator on the victim?
	(поt of Hispanic Origin)		(Mark (X) all that apply for all perpetrators.)
	07 Other racial category in your information system –		on ☐ Sexual harassment, sexual innuendo, or verbal comments
	Specify Z		oz Persuasion or talked into sexual activity
			03 Surprised the victim with unwanted touching,
			grabbing or groping, or victim was asleep
22.	Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)		o4 ☐ Bribery or blackmail
			05 Gave victim drugs or alcohol
	01 Male 03 Transgender		06 Offered protection from other youth
	02 Female 04 Intersex		07 ☐ Threatened with physical harm
23.	Perpetrator #2: What was the youth perpetrator's		08 Physically held victim down or restrained in
	age at the time of the incident?		some way
	01 Under age 13 04 18–19		09 Physically harmed or injured
	02 13-15 05 20-24		10 ☐ Threatened with a weapon 11 ☐ Other – Specify
	03 ☐ 16–17 06 ☐ 25 or older		The Other - Specify P
24.	Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)		12 None
	o₁ ☐ White (not of Hispanic origin)		
	02 Black (not of Hispanic origin)	27.	What sanction was imposed on the perpetrator(s)?
	03 🔲 Hispanic or Latino		(Mark (☒) all that apply for all perpetrators.)
	04 American Indian/Alaska Native (not of Hispanic		o1 Placed in solitary confinement or disciplinary segregation
	origin)		02 Confined to own cell or room
	os ☐ Asian (not of Hispanic origin) os ☐ Native Hawaiian or Other Pacific Islander		03 Placed in higher custody level, restricted unit or
	(not of Hispanic Origin)		program, within the same facility
	07 Other racial category in your information system -		04 Transferred to other unit/cell or separated from victim
	Specify Z		05 Transferred to another facility
			oe ☐ Loss of "good/gain" time or increase in "bad"
			time/delayed release
			07 ☐ Given extra work
			OB ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
			09 Sent to counseling or treatment team
			10 Arrested or referred to law enforcement agency
			11 Referred for prosecution or indicted
			12 Convicted, given new sentence, or fined
			13 ☐ Other - Specify ₹

	Section B – STAFF-ON-YOUTH SEXUAL ABUSE	33.	Staff #2: What was the gender of the staff?
	① If the perpetrator was a youth, go		01 ☐ Male 02 ☐ Female
28.	to Section A on pages 2–3. What was the nature of the incident? (Mark (X) all that apply.)	34.	Staff #2: What was the age of the staff at the time of the incident?
	on Physical force resulting in a nonconsensual sexual act on Pressure or abuse of power resulting in a nonconsensual sexual act		01 ☐ 24 or younger
	os ☐ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification o4 ☐ Unwanted touching for sexual gratification o5 ☐ Sexual harassment or repeated verbal statements of a sexual nature by staff o6 ☒ Wrote letters, showed pictures, or offered gifts or special privileges to youth o7 ☒ Sexual relationship between youth and staff that appeared to be willing o8 ☐ Other ─ Specify ☒	35.	Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark (X) all that apply.) 10 White (not of Hispanic origin) 10 Black (not of Hispanic origin) 10 Hispanic or Latino 11 American Indian/Alaska Native (not of Hispanic origin) 12 Asian (not of Hispanic origin) 13 Native Hawaiian or Other Pacific Islander (not of Hispanic origin) 14 Other racial category in your information system – Specify
29.	How many staff were involved in the incident? Number of staff	36.	Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark (X) all that apply for all staff involved.)
	→ If more than two staff were involved, report their characteristics in Notes on page 5. Staff #1: What was the gender of the staff? 101 Male 102 Female Staff #1: What was the age of the staff at the		01 ☑ Full or part time paid employee 02 ☐ Contract employee or vendor 03 ☐ Volunteer or intern 04 ☐ Other – Specify ☑
	Staff #1: What was the age of the staff at the time of the incident? 01	37.	What was the primary position description of the staff involved in the incident? (Mark (☒) all that apply for all staff involved.) 1 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions 12 ☒ Correctional officer or supervisory staff 13 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support 14 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers 15 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants 16 ☐ Education staff, including instructors, teachers, librarians, and education assistants 17 ☐ Other program staff 18 ☐ Volunteers or Interns 19 ☐ Other staff — Specify ☒

38. What sanction was imposed on the staff?	Definitions
(Mark (区) all that apply for all staff involved.)	
o₁ ☐ Sent to training or counseling	Sexual victimization
oz Reprimanded or disciplined	NONCONCENCIAL SEVIIAL ACTS. Conveil and of act
os Demoted, diminished responsibilities, or	NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is
suspended temporarily	unable to consent or refuse:
_ ` ` ` ` `	AND
04 Transferred to another facility or unit	Contact between the penis and the vulva or the penis
05 Arrested or referred to law enforcement	and the anus, including penetration, however slight, OR
agency	Contact between the mouth and the penis, vulva, or anus;
06 Referred for prosecution or indicted	OR
or Convicted, plead guilty, sentenced, or fined	Penetration of the anal or genital opening of another person,
os Discharged, terminated, or contract not renewed	however slight, by a hand, finger, object, or other instrument.
	ARIICIVE SEVIIAL CONTACT (Inc. powers), Course
The state of the s	ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a
10 Staff resigned (after investigation was completed)	person who is unable to consent or refuse;
11 ☑ Other – Specify Z	AND
	Intentional touching, either directly or through the clothing, of
Referred to law enforcement and arrested	the genitalia, anus, groin, breast, inner thigh, or buttocks of
	any person.
12 No action taken	
The design relief	EXCLUDE incidents in which the contact was incidental to a
39. At the time of the incident, how long had the	physical altercation.
staff worked at the facility?	SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated
(Mark (区) all that apply for all staff involved.)	and unwelcome sexual advances, requests for sexual favors, or
01 Less than 6 months	verbal comments, gestures, or actions of a derogatory or
02 🗷 6 months to 1 year	offensive sexual nature by one youth directed toward another.
03 🗆 1 to 5 years	STAFF SEXUAL MISCONDUCT: Any behavior or act of a
04 🗆 5 to 10 years	sexual nature directed toward a youth by an employee, volunteer,
	contractor, official visitor or other agency representative (exclude
05 More than 10 years	family, friends or other visitors).
	Sexual relationships of a romantic nature between staff and
NOTES	youths are included in this definition. Consensual or
	nonconsensual sexual acts include—
	Intentional touching, either directly or through the clothing, of
	the genitalia, anus, groin, breast, inner thigh, or buttocks that
	is unrelated to official duties or with intent to abuse, arouse,
	or gratify sexual desire;
	OR
	Completed, attempted, threatened, or requested sexual acts;
	OR
	Occurrences of indecent exposure, invasion of privacy, or
	staff voyeurism for reasons unrelated to official duties or for sexual gratification.
	Sexual grainication.
	STAFF SEXUAL HARASSMENT: Repeated verbal comments
	or gestures of a sexual nature to a youth by an employee.
	volunteer, contractor, official visitor, or other agency
	representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or
	derogatory comments about body or clothing;
	OR
	Repeated profane or obscene language or gestures.
	Gender categories
	TRANSGENDER: A person whose gender identity (i.e.,
	internal sense of feeling male or female) is different from the
	person's assigned sex at birth.
	INTERSEX: A person whose sexual or reproductive
	anatomy or chromosomal pattern does not seem to fit
	typical definitions of male or female, Intersex medical
	conditions are sometimes referred to as disorders of sex
	development.